



#4
PATENT APPLICATION

Docket No.: N.C. 82,575

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pong, et al.

Serial No. 10/068,506

Filed: 02/08/2002

For: OPTICAL FILTERS COMPRISING SOLAR
BLIND DYES AND UV-TRANSPARENT
SUBSTRATES

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

April 25, 2002

RESPONSE TO NOTICE TO FILE MISSING PARTS

ATTN: BOX MISSING PARTS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

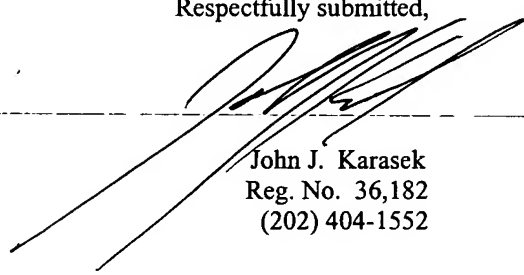
Sir:

This communication is responsive to your "Notice to File Missing Parts of Nonprovisional Application" regarding the above referenced Patent Application, mailed by your office on April 12, 2002.

The Notice indicates that the requisite Oath or Declaration is missing and that a statutory late filing fee is required. Enclosed is a reproduction of the Declaration executed by all named inventors and a copy of the notice as requested. Kindly charge the \$130.00 late filing fee and any additional fees due, or credit overpayment of fees, to Deposit Account 50-0281.

Respectfully submitted,

Prepared by:
Philip E. Ketner
Reg. No. 46,272
(202) 404-1554


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,506	02/08/2002	Richard G. S. Pong	N.C.82,575

CONFIRMATION NO. 9515

FORMALITIES LETTER



OC000000007860199

26384
NAVAL RESEARCH LABORATORY
ASSOCIATE COUNSEL (PATENTS)
CODE 1008.2
4555 OVERLOOK AVENUE, S.W.
WASHINGTON, DC 20375-5320

Date Mailed: 04/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Maria Quintana

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/15/2002 AWONDAF1 00000154 500281 10068506

FC:105

130.00 CH



MP\$

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130

Complete if Known

Application Number	10/068,506
Filing Date	2/8/2002
First Named Inventor	Pong
Examiner Name	not yet assigned
Group Art Unit	not yet assigned
Attorney Docket No.	82,575

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to	
Deposit Account Number	50-0281
Deposit Account Name	Naval Research Laboratory
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status, See 37 CFR 1.27	
2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other

FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 740 201 370		Utility filing fee	
106 330 206 165		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	
SUBTOTAL(1)			(\$ 0

2. EXTRA CLAIM FEES			
Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20- =	X	0
Multiple Dependent	- 3" =	X	0
Large Entity Small Entity			
Fee Fee Fee Fee	Fee Description		
Code-(S)-Code-(S)			
103 18 203 9	Claims in excess of 20		
102 84 202 42	Independent claims in excess of 3		
104 280 204 140	Multiple dependent claim, if not paid		
109 84 209 42	** Reissue independent claims over original patent		
110 18 210 9	Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			(\$ 0

FEE CALCULATION (continued)			
3. ADDITIONAL FEES			
Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105 130 205 65		Surcharge - late filing fee or oath	130
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920* 11 2 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1,440 218 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(c)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
179 740 279 370		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 130

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182
Signature		Telephone	202-404-1552
		Date	5/6/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.